

<b>Title</b>	<b>Demonstrate core knowledge of New Zealand's regulatory system and regulatory practice</b>		
<b>Level</b>	<b>3</b>	<b>Credits</b>	<b>5</b>

<b>Purpose</b>	<p>People credited with this unit standard are able to:</p> <ul style="list-style-type: none"> <li>– demonstrate core knowledge of regulation, including its purpose and intended outcomes, and acting in the public interest;</li> <li>– demonstrate introductory knowledge of te ao Maori in relation to regulatory practice;</li> <li>– provide an overview of New Zealand's regulatory system; and</li> <li>– describe an organisation's regulatory role.</li> </ul>
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<b>Classification</b>	Public Sector Compliance > Public Sector Compliance Operations
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<b>Available grade</b>	Achieved
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## Guidance Information

### 1 Definitions

*Organisational requirements* refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace.

Regulatory role does not include an organisation's internal regulatory practice, e.g. ensuring its own staff comply with HSWA 2015.

*Te Tiriti o Waitangi* refers to the Māori language text of The Treaty.

*Treaty of Waitangi* refers to the English language text of The Treaty.

*The Treaty* refers to both the Māori and English language texts considered as a whole.

*The principles of The Treaty* refers to the core concepts that underpin The Treaty.

There is more than one set of Treaty principles that can be referenced.

The Regulatory sector has a number of terms with specific usage. For current definitions visit <http://www.skills.org.nz/resources-for-training-providers/>.

### 2 References include but are not limited to

Health and Safety at Work Act (HSWA) 2015;

Local Government Official Information and Meetings Act 1987;

Official Information Act 1982;

Privacy Act 2020;

Te Tiriti o Waitangi/Treaty of Waitangi;

and any subsequent amendments or replacements.

### 3 Range

a Demonstration of knowledge and skills must be consistent with any applicable

code or codes of conduct such as the New Zealand State Services Code of Conduct, Standards of Integrity and Conduct (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.

- b Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study;
  - c Any set of Te Tiriti o Waitangi/The Treaty of Waitangi principles is acceptable so long as both versions of The Treaty are referred to.
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## Performance Criteria

### Outcome 1

Demonstrate core knowledge of regulation, including its purpose and intended outcomes, and acting in the public interest.

#### Performance Criteria

- 1.1 Describe the purpose of regulation in terms of contribution to government outcomes and the public good.
- 1.2 Identify types of outcomes sought by regulators in accordance with the regulatory strategy of a regulatory organisation.  
  
Range may include but is not limited to – harm prevention, security, compliance with the law.
- 1.3 Explain the public interest as it relates to regulatory practice.

### Outcome 2

Demonstrate introductory knowledge of te ao Māori in relation to regulatory practice.

Range must include the application of the principles of Te Tiriti o Waitangi/The Treaty of Waitangi in a regulatory context;  
may include but is not limited to - te reo Māori, tikanga Māori, Aotearoa New Zealand's history as a nation, engagement with Māori, Māori worldview, equity and racism.

#### Performance Criteria

- 2.1 Identify the principles of Te Tiriti o Waitangi/The Treaty of Waitangi and their importance to New Zealand's government and society.
- 2.2 Outline the application of one principle of Te Tiriti o Waitangi/The Treaty of Waitangi in a regulatory context.
- 2.3 Describe aspects of te ao Māori as it is applied to regulatory practice in accordance with organisational requirements.

### Outcome 3

Provide an overview of New Zealand's regulatory system.

### Performance criteria

3.1 Provide an overview of New Zealand's regulatory system.

Range includes but is not limited to – key entities, and their functions and responsibilities, regulatory stewardship;  
key entities include but are not limited to – central government, local government, regulated parties.

### Outcome 4

Describe an organisation's regulatory role.

### Performance criteria

4.1 Describe an organisation's regulatory role in terms of its mandate and place within New Zealand's regulatory system.

<b>Planned review date</b>	31 December 2025
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### Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2		

<b>Consent and Moderation Requirements (CMR) reference</b>	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

### Comments on this unit standard

Please contact The Skills Organisation at [reviewcomments@skills.org.nz](mailto:reviewcomments@skills.org.nz) if you wish to suggest changes to the content of this unit standard.