

Title	Apply operational knowledge of regulatory practice in a regulatory environment		
Level	4	Credits	8

Purpose	People credited with this unit standard are able to apply operational knowledge of regulatory practice in a regulatory environment.
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Classification	Public Sector Compliance > Public Sector Compliance Operations
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Available grade	Achieved
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Guidance Information

1 Definitions

Organisational requirements refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/ The Treaty of Waitangi.

The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).

2 Reference include but are not limited to

New Zealand Bill of Rights Act 1990;

Search and Surveillance Act 2012;

Te Tiriti o Waitangi/ The Treaty of Waitangi;

specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Fisheries Act 1996, Resource Management Act 1991); and any subsequent amendments or replacements.

3 Range

a Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, Standards of Integrity and Conduct (available from <http://publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.

b Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study.

Outcomes and performance criteria

Outcome 1

Apply operational knowledge of regulatory practice in a regulatory environment.

Range situations may be actual and/or simulated;
two situations are required.

Performance criteria

1.1 Apply operational knowledge of the law in accordance with organisational requirements.

Range knowledge of the law may include – legal systems, powers and obligations, offences, evidence.

1.2 Apply knowledge of regulatory activities in accordance with organisational requirements, and steps taken to assure the health and safety of regulatory staff and others.

Range regulatory activities may include – intelligence, entry and exit control, education and/or assistance, checking compliance, audits, operations, investigations.

1.3 Apply knowledge of regulatory interventions in accordance with organisational requirements.

1.4 Apply knowledge of communicating effectively in a regulatory environment in accordance with organisational requirements.

Range written and oral communication to organisational standards.

Planned review date	31 December 2025
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Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2		

Consent and Moderation Requirements (CMR) reference	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

Comments on this unit standard

Please contact The Skills Organisation at reviewcomments@skills.org.nz if you wish to suggest changes to the content of this unit standard.