

Title	Carry out regulatory interventions		
Level	4	Credits	10

Purpose	<p>This unit standard is for people currently employed as regulatory officers.</p> <p>People credited with this unit standard are able to carry out regulatory interventions.</p>
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Classification	Public Sector Compliance > Public Sector Compliance Operations
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Available grade	Achieved
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Guidance Information

- 1 Definitions

Organisational requirements refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/ The Treaty of Waitangi.

The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).
- 2 References include but are not limited to:

Crimes Act 1961;
 Criminal Disclosure Act 2008;
 Criminal Procedure Act 2011;
 Evidence Act 2006;
 Health and Safety at Work Act 2015;
 New Zealand Bill of Rights Act 1990;
 Oranga Tamariki Act 1989;
 Public Service Act 2020;
 Search and Surveillance Act 2012;
 Te Tiriti o Waitangi/Treaty of Waitangi;
 specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory compliance role and/or any other legislation applicable to a particular regulatory compliance situation (e.g. Fisheries Act 1996, Resource Management Act 1991);
 and any subsequent amendments and replacements.
- 3 Range

a Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct,

Standards of Integrity and Conduct (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.

b Evidence of an intervention for each of three different situations, from the range of interventions within the scope of the candidate's role.

Outcomes and performance criteria

Outcome 1

Carry out regulatory interventions.

Range may include but is not limited to – infringement notice, formal warning, prosecution, formal directive, education, enforcement order, communication response, negotiated actions, renewal, suspension of licence, compliance notice, settlement, injunctions and seizing, detaining property and/or persons.

Performance criteria

1.1 Choose and/or recommend interventions, where the candidate has the delegated authority, consistent with regulatory model and the needs of the situation.

1.2 Carry out regulatory interventions in accordance with organisational requirements, regulatory model and the requirements of the situation.

1.3 Communicate effectively with persons regarding the regulatory interventions, consistent with organisational requirements.

Range communication may include but is not limited to – non-verbal, oral, written communication, active listening, demonstrating empathy, building rapport whilst maintaining appropriate boundaries, use of questions to obtain and clarify information.

1.4 Record actions taken, responses of the regulated parties and results achieved at the time, consistent with organisational requirements.

Replacement information	This unit standard replaced unit standard 26922.
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Planned review date	31 December 2025
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Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2		

Consent and Moderation Requirements (CMR) reference	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

Comments on this unit standard

Please contact The Skills Organisation at reviewcomments@skills.org.nz if you wish to suggest changes to the content of this unit standard.

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