

<b>Title</b>	<b>Apply and maintain knowledge of legislative standards and specialised technical knowledge in a regulatory inspection context</b>		
<b>Level</b>	<b>5</b>	<b>Credits</b>	<b>5</b>

<b>Purpose</b>	<p>People credited with this unit standard are able to:</p> <ul style="list-style-type: none"> <li>– demonstrate and apply knowledge of legislative standards that applies to regulatory inspections conducted by a regulatory organisation;</li> <li>– apply technical subject matter expertise to regulatory inspections; and</li> <li>– maintain currency of specialised technical knowledge relating to regulatory inspections.</li> </ul>
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<b>Classification</b>	Public Sector Compliance > Public Sector Compliance Operations
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<b>Available grade</b>	Achieved
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### Guidance Information

#### 1 Definitions

*Subject matter expertise* relates to knowledge of the subject being inspected, and may include but is not limited to objects, components, industry practices, environment.

*Organisational requirements* refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/ The Treaty of Waitangi.

The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).

#### 2 References include but are not limited to

Health and Safety at Work Act 2015,  
New Zealand Bill of Rights Act 1990;  
Search and Surveillance Act 2012;  
Te Tiriti o Waitangi/ The Treaty of Waitangi;  
specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Customs and Excise Act 2018; Fisheries Act 1996, Resource Management Act 1991);  
and any subsequent amendments and replacements.

#### 3 Range

Demonstration of knowledge and skills must be consistent with any applicable code

or codes of conduct such as the New Zealand State Services Code of Conduct, *Standards of Integrity and Conduct* (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.

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## Outcomes and performance criteria

### Outcome 1

Demonstrate and apply knowledge of legislation that applies to regulatory inspections conducted by a regulatory organisation.

#### Performance criteria

- 1.1 Demonstrate knowledge of regulatory powers and functions of regulatory inspections in accordance with organisational requirements.
- 1.2 Apply regulatory powers and functions in a regulatory inspection in accordance with organisational requirements.  
  
Range evidence of two regulatory inspections is required.

### Outcome 2

Apply technical subject matter expertise to regulatory inspections.

#### Performance criteria

- 2.1 Apply subject matter expertise to a regulatory inspection in accordance with organisational requirements.
- 2.2 Explain why the application of the subject matter expertise was required for the regulatory inspection in accordance with organisational requirements.

### Outcome 3

Maintain currency of specialised technical knowledge relating to regulatory inspections.

#### Performance criteria

- 3.1 Maintain currency of specialised technical knowledge relating to regulatory inspections.  
  
Range may include but is not limited to — awareness of operational, legislative, and/or policy changes, continuing education, contributing to a community of practice, networking activities, training others.
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<b>Planned review date</b>	31 December 2025
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**Status information and last date for assessment for superseded versions**

Process	Version	Date	Last Date for Assessment
Registration	1	Xx 2021	N/A

<b>Consent and Moderation Requirements (CMR) reference</b>	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

**Comments on this unit standard**

Please contact The Skills Organisation at [reviewcomments@skills.org.nz](mailto:reviewcomments@skills.org.nz) if you wish to suggest changes to the content of this unit standard.

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